DELTAMARK

LLP Identification Number : AAX-1400

DP ID

Client Code :

NON INDIVIDUAL
CLIENT REGISTRATION FORM

Name of Stock Broker/ Trading Member/ Clearing Member	Deltamark Partners LLP	
Single SEBI Registration No. across All Exchange & Segment	INZ000310451	Dated: 27-DEC-2022
DETAILS OF TRADING MEMBER ID / LLP Identification No.	NSE TM ID	90311
	BSE TM ID	6851
	MSEIL TM ID	79550
	LLP Identification No.	AAX-1400
Registered Office Address	102 AND 103, 1st FLOOR, RONIT ARCADE, S.V. ROAD, JHAVERI BAUGH, POISAR, Opp. MTNL EXCHANGE,KANDIWALI WEST, MUMBAI, MAHARASHTRA, INDIA,400067	
DETAILS OF COMPLIANCE OFFICER	NAME	RAKESH RAMNIKLAL CHHEDA
	MOBILE NO	+919819322002
	EMAIL ID	Compliance@deltamarkllp.com
DETAILS OF CEO	NAME	ASHISH JAYANTILAL PANCHAL
	MOBILE NO	+919833986684
	EMAIL ID	Ashishpanchal@deltamarkllp.com

For any grievance/ dispute please contact DELTAMARK PARTNERS LLP at the above address and/or email id : Compliance@deltamarkllp.com and/ or Phone No. +91-22-35752177. In case not satisfied with the response, please contact the concerned exchange(s) at

NATIONAL STOCK EXCHANGE OF INDIA LIMITED	ignse@nse.co.in	(022) 26598100
BOMBAY STOCK EXCHANGE LIMITED	is@bseindia.com	(022) 22721233/4
METROPOLITAN STOCK EXCHANGE OF INDIA LIMITED	investorcomplaints@msei.in	(022) 61129028

INDEX OF DOCUMENTS

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9.	Policy for Voluntary Freezing	Policy for Voluntary Freezing/Blocking of Online Access to Trading Accounts Due to Suspicious Activities	
10.	Policy on Handling of good	Policy on Handling of good Till cancelled Orders offered by Members to Clients	
11.	Rights & Obligations	Document stating the Rights & Obligations of stockbroker / trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology-based trading).	
12.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the Securities Market.	
13.	Guidance Note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	
14.	Policies and Procedures	Document describing significant policies and procedures of the stockbroker	

I/We confirm having read, received and understood the contents of the,'Rights and Obligations' document(s) ,'Risk Disclosure Document',Guideline Notes ,Policies and Procedures, 'Policy for Voluntary Freezing/Blocking of Online Access to Trading Accounts Due to Suspicious Activities ,'Policy on Handling of good Till cancelled Orders offered by Memebers to Clients as prescribed by SEBI/Exchanges.

Signature & Stamp	1st Authorised Signature	2nd Authorised Signature	3rd Authorised Signature

Note: - DELTAMARK PARTNERS LLP . is engaged in Client base business and proprietary trading as well. This disclosure is made in pursuance of SEBI Circular No. SEBI/MRD/SE/Cir-42/2003 dated November 19, 2003 and amendment there in.

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS

1. Self-attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government / judicial / military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of identity (POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specially exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter lD card / Driving license.
3. Identity card/document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Unique Identification Number (Aadhar) / Passport / Voters Identity Card / Ration Card / Registered Lease or
2. Sale Agreement of Residence/Driving License/Flat Maintenance Bill / Insurance Copy.
3. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
4. Bank Account Statement/Passbook - Not more than 3 months old.
5. Self-declaration by High Court and SupremeCourt judges, giving the new address in respect of their own accounts.
6. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co- Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary public/Elected representatives to the Legislative Assembly / Parliament / Documents issued by any Govt. or Statutory Authority.
7. Identity card/document with address, issued by any of the following: Central / State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc.to their Members.
8. For Fll/sub account, Power of Attorney given by Fll/subaccount to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
9. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officialsappointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/-p.a. In case of institutional clients, namely, Flls, Mfs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

ADDITIONAL IMPORTANT POINTS

F. General

1. All correction made on the form should be attested (counter signed) by the client.
2. Please ensure that the form is properly filled up, complete in all respects, attached with copies of required documents, and incase any filled is "Not Applicable" please mentioned accordingly.
3. All correspondence/queries shall be addressed to the first/sole applicant.
4. If the signature on the form and the proof provided differs, the form will be liable for rejection.

G. Any one of the following documents for proof of Bank Account.

1. Copy of a cancelled Cheque Leaf with name of the account holder preprinted on it.
2. Bank pass book having name and address of the account holder and latest transaction pages being not more than 3 months old.
3. Bank statement having name and address of the account holder being not more than 3 months old.

In case of option (ii) and (iii) above MICR/IFSC code of the branch should be present/mentioned on the document and it should be self certified by the BO.

H. Any one of the following document for proof of Demat account.

1. DP client Master List with DP stamp or logo (not more than 6 months old)
2. Recent Demat Holding Statement which must contain specific Client ID, Client Name, DP ID and DP Name. (not more than 6 months old)

I.In case of NRI & Foreign National (allowed to trade subject to RBI and FEMA guidelines), additional documents to be obtained from them, over & above the POI & POA, as mentioned below:

1. Permission for dealing in Securities (PIS approval) from Authorized Dealer (Bank) with NRE/ NRO Bank proof.
2. Declaration duly signed by the NRI as per the format attached

J. Additional documents required in case of trading in derivatives segments - illustrati

Copy of latest ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip not more than 2 months old / Copy of Form 16	Net worth certificate duly certified by Chartered Accountant
Bank account statement for last 6 months	Any other relevant documents substantiating ownership of assets.
Self declaration of Net Worth (Assets-Liabilities) with relevant supporting documents for each item mentioned in declaration.	

NON INDIVIDUAL CLIENT REGISTRATION FORM

Important instruction:

a. Field marked with '*' are mandatory fields.
b. Please fill the form in English and in BLOCK letters.
c. Please fill the date in DD-MM-YYY format
d. Please read section wise detailed guidelines /instruction

A. Entity Details (please refer guidelines)

PAN :-

Name* (same as ID proof) :-

Date of Incorporation*:-	Place of Incorporation*:-
Date of Commencement*:-	Registration Number*:-

Entity Type* Please Tick

- ☐ Private Ltd. Co.
- ☐ Public Ltd. Co.
- ☐ Body Corporate
- ☐ Partnership
- ☐ Trust/Charity/NGO
- ☐ HUF
- ☐ FPI Category I
- ☐ FPI Category II
- ☐ AOP
- ☐ Bank
- ☐ Government Body
- ☐ Defence Establishment
- ☐ Body of Individuals
- ☐ Society
- ☐ LLP
- ☐ Non-Government Organization
- ☐ Others _______________

Proof of Identity* (please refer to the guidelines)

- ☐ Officially Valid Document(s) in respect of person authorized to transact
- ☐ Certificate of Incorporation/Formation
- ☐ Memorandum of Articles and Association
- ☐ Registration Certificate
- ☐ Activity Proof –1+ (For Sole Proprietorship Only)
- ☐ Trust Deed
- ☐ Activity Proof –2+ (For Sole Proprietorship Only)
- ☐ Partnership Deed
- ☐ Power of attorney granted to its manager, office, employees to transact on its behalf
- ☐ Board Resolution

B. Address Details* (please refer the guidelines)

a. Registered Address*

Line1* ____________________

Line2 ____________________

Line3 ____________________

City/Town/Village*:-____________ District:-____________ Pin Code*:-____________

State* :-____________ Country*:-____________

b. Correspondence/Local Address in India (if different from above)*

Line1* ____________________

Line2 ____________________

Line3 ____________________

City/Town/Village*:-____________ District:-____________ Pin Code*:-____________

State* :-____________ Country*:-____________

Proof of Address* (attested copy of any one POA to be submitted—#Not more than 3 months old)

- ☐ Certificate of Incorporation/Formation
- ☐ Registration Certificate
- ☐ Other document ____________
- ☐ Latest Telephone Bill# (Landline only)
- ☐ Latest Electricity Bill#
- ☐ Latest Bank Account Statement#
- ☐ Registered Lease/ Sale Agreement of Office Premises

Validity/Expiry Date of POA (Expiry Date) __ __ __ __ __ __ __ __

- ☐ Any other proof of address document (as listed overleaf) ____________

SIGNATURE of AUTHORISED PERSON)

C. Contact Details	
Tel (Off) (ISD)(STD)____________________________	Tel (Res)(ISD)(STD)_____________________________________
Mobile No. * (ISD)(STD)__________________________	Fax (ISD)(STD)____
Email ID*____	

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it. I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address. I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.	(NAME OF AUTHORISED PERSON)

Place:- ______________________ Date:- _______/________/__________		
FOR OFFICE USE ONLY IPV Done on ________/_________/_________		
☐ (Originals Verification) Self Certified Document Copies received. ☐ (Attested) True Copies of documents received Main Intermediary	Verified in Person:- ________________ Name of the organization:- ____________ Staff / AP / SB / Name:- ______________ AP / SB Reg. No.:- _________________ Designation:- _____________________ Signature:-	Seal /Stamp of the intermediary

TRADING ACCOUNT RELATED DETAILS

A. BANK ACCOUNT DETAILS		
Name of the Bank		
Branch Address & Tel. No.		
Bank Account No.		
Bank Code (9-digit MICR Code)		
Account Type (Savings / Current / Others in case of NRI-NRE / NRO / PIS)		
IFSC Code (11-digit IFSC Code)		

C. TRADING PREFERENCES

*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

NSE Cash Segment ✍	NSE F&O Segment ✍
BSE Cash ✍	BSE F&O Segment ✍

D. PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners / promoters / whole time directors/authorized persons in charge of dealing in securities during the last 3 years:

E. DEALINGS THROUGH SUB-BROKERS AND OTHER STOCK BROKERS

If client is dealing through the sub-broker, provide the following details:						
Sub-broker's Name						
SEBI Registration number						
Registered office address						
City	Pin Code		State		Country	
Tel.	(with STD Codes)	Fax :	(with STD Codes)	Website :		
Whether dealing with any other stockbroker/sub-broker (in case dealing with multiple stockbrokers/ sub-brokers, provide details of all - use separate sheets for the same)						
Name of Stockbroker		Name of Sub-Broker, if any				
Client Code		Exchange				
Details of disputes/dues pending from/to such stockbroker/sub- broker						

F. ADDITIONAL DETAILS			
Whether you wish to receive ☐ Electronic Contract Note (ECN) ☐ Physical Contract Note			
Whether you wish to avail of the facility of internet trading/wireless technology (please tick) ☐ Yes ☐ Not at all			
Number of years of Investment/Trading Experience ☐ Stock ☐ Derivatives ☐ Others			
Educational Qualification			
Gross Annual Income Details Please tick (✔) : ☐ Below 1 Lac ☐ 1-5 Lac ☐ 5-10 Lac ☐ 10-25 Lac ☐ 25 Lacs - 1 Crore ☐ >1Crore OR Net-worth in (*Net worth should not be older than 1 year) as on (date) / /			
Please tick, if applicable : ☐ Politically Exposed Person ☐ Related to a Politically Exposed Person			
G. INTRODUCER DETAILS (OPTIONAL)			
Name of the Introducer	FIRST NAME	MIDDLE NAME	LAST NAME
Status of the Introducer	☐ Sub-broker ☐ Remisier ☐ Authorized Person ☐ Existing Client ☐ Employee ☐ Others please specify		
Address of the Introducer			
City	Pin Code	State	Country
Tel.(with STD Codes)			
PAN of the Introducer		Signature of the Introducer	

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/We am/ are aware that I/We may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read,received and understood the contents of the,
   i. 'Rights and Obligations' document(s).
   ii. 'Risk Disclosure Document'.
   iii. Guideline Notes.
   iv. Policies and Procedures
   v. 'Policy for Voluntary Freezing/Blocking of Online Access to Trading Accounts Due to Suspicious Activities.
   vi. 'Policy on Handling of good Till cancelled Orders offered by Memebers to Clients.

and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the Broker any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action. I have also been informed that the standard set of documents has been displayed for information on website www.deltamarkllp.com

Signature & Stamp	✍	✍	✍
Name	1st Authorised Signature	2nd Authorised Signature	3rd Authorised Signature

The account will be operated by ☐ Any one singly ☐ Jointly by any two ☐ All ☐ Others

Place Date

WITNESSES 1 Full Name & Address	WITNESSES 2 Full Name & Address
✍ Signature of 1st Witness	✍ Signature of 2nd Witness

Most Important Terms and Conditions (MITC)

(For non-custodial settled trading accounts)

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You must place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

Signature & Stamp	✍	✍	✍
Name	1st Authorised Signature	2nd Authorised Signature	3rd Authorised Signature

MANDATORY

BROKERAGE SLAB

DELTAMARK PARTNERS LLP

Cash Market Brokerage

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F&O Segment

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- The Brokerage will be charged within the prescribed limit i.e. not more than 2.5% of the trade value exclusive of statutory levies and inclusive of sub brokerage.
- In F&O segment brokerage on options will be charged on trading Premium amount @ 2.5% of premium amount or Rs.100/- Per contract, whichever is higher.

Signature & Stamp	✍	✍	✍
Name	1st Authorised Signature	2nd Authorised Signature	3rd Authorised Signature

To: Date: ____/______/_________

DELTAMARK PARTNERS LLP.
102 AND 103, RONIT ARCADE , S.V. ROAD,
JAVARI BAUG, KANDIVALI WEST, MUMBAI - 400067
Telephone. : +91-22-35752177
Email- Compliance@deltamarkllp.com

Sub: Maintenance of my/our account on a running account basis.

Dear Sir/ Madam

Due to operational convenience and to be in a position to settle my / our trades within the Exchange specified Settlement Schedule. I/ We request you to offer the facility of maintaining the running account with you. I / We understand and agree the following

1. You can retain the funds with you, received as payouts from the Exchange on my / our future transactions or outstanding positions, if any.
2. You can retain the securities with you, in your margin Account received as Payouts from the Exchanges on my / our behalf. The same can be used towards margin / collaterals for my / our future transactions or against outstanding positions.
3. In case of any sale transactions done by me / us, you can automatically deliver the shares to the Exchange towards Paying of my / our shares if any lying with you on my / our behalf.
4. In case of any purchase transaction done by me / us you can automatically make the funds' pay in to the Exchange out of the credits Lying in my / our account on my behalf.
5. In case of any debit balance in my / our account you can square off my / our securities held by me / us to the extent of our debit balance without any notice to me / us.
6. You can transfer funds / securities from my / our account in one segment against my obligation/ margin requirements in another segment in the same Exchange or different Exchange / s.
7. I / We confirm that the funds mean monies lying as credit in my / our account or payouts that are received from Exchanges arising out of sale of securities.
8. In case I / we require funds or securities, I/ we will inform you of the same in writing, after which you can make the payment, transfer the securities to me / us on my / our request.
9. I / We Understand and agree that no interest would be paid on my / our credit balance in the running account with Deltamark Partners LLP.
10. I / We will not hold Deltamark Partners LLP responsible for any loss / damage arising out of such transfers and or sale done by Deltamark Partners LLP on my/our behalf, pursuant to these instructions.
11. In case I / we wish to revoke this consent at any time, I / we shall inform Deltamark Partners LLP in writing and get it acknowledged by Deltamark Partners LLP. .

My /Our preference for actual settlement of funds and securities is at least (tick the appropriate choice):

a) in a calendar Quarter b) in calendar month

At the time of the settling the account as above, in case I/ we are having any outstanding obligation, Deltamark Partners LLP may retain the funds & securities required to meet 225% of total margin liability in all the segments across exchanges, calculated in the manner specified by the exchanges/ SEBI.

I/we shall bring any dispute arising from the statement of account or settlement so made to your notice within 30 working days from the date of receipt of funds or statement, as the case may be. In the case of non- receipt of any such communication the statement / settlement of running account shall be considered as final as agreed and accepted by me.

Thanking You,

Signature & Stamp	✍	✍	✍
Name	1st Authorised Signature	2nd Authorised Signature	3rd Authorised Signature

LETTER FOR AUTHORIZATION FOR ELECTRONIC CONTRACT NOTES

To:
DELTAMARK PARTNERS LLP.
102 AND 103, RONIT ARCADE , S.V. ROAD,
JAVARI BAUG, KANDIVALI WEST, MUMBAI - 400067
Telephone. : +91-22-35752177
Email- Compliance@deltamarkllp.com

SUB: Authorization for Electronic Contract Notes

I have been/shall be dealing through you as my broker on the Capital Market and/or Futures & Options and/or Currency Derivatives and/or Interest Rate Futures Segments. As my broker i.e. agent I direct and authorize you to carry out trading/dealings on my behalf as per instructions given below.

I understand that, I have the option to receive the contract notes in physical form or electronic form. In pursuance of the same, I hereby opt to receive contract notes in electronic form. I understand that for the above purpose, you are required to take from the client "an appropriate email account" for you to send the electronic contract notes. Accordingly, please take the following email account /email id on your record for sending the contract notes to me:
EMAIL ADDRESS: - ____

I also agree that non-receipt of bounced mail notification by you shall amount to delivery at my email account /email id.

I agree not to hold you responsible for late/non-receipt of contract notes sent in electronic form and any other communication for any reason including but not limited to failure of email services, loss of connectivity, email in transit etc.

I agree that the log reports of your dispatching software shall be a proof of dispatch of contract notes to me and such dispatch shall be deemed to mean receipt by me.

8I understand that I am required to intimate any change in the email id/email account mentioned herein above needs to be communicated by me through a physical letter to you, provided however that if I am an internet client then in that event the request for change in email id/email account can be made by me through a secured access using client specific user id and password. Please treat this authorization as written ratification of my verbal directions/authorizations given and carried out by you earlier. I shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my directions given above.

I understand that, you shall send physical copy of the contract note within 24 hours if you received a bounced email.

Yours faithfully,

Signature & Stamp	✍	✍	✍
Name	1st Authorised Signature	2nd Authorised Signature	3rd Authorised Signature

Dear Investor!!

Subject: Prevention of Money Laundering

Money laundering is a process of making dirty money clean. Money is moved around the financial system again and again in such manner that its origin gets hidden.

It involves complex chain of activities whereby huge amount of money generated from illegitimate activities like selling of narcotic drugs, extortion, corruption, illicit dealing in weapons, human trafficking, etc. is put through a series of process so that it comes out at the other end as clean and legal money. Terrorist organizations encourage money laundering to support their illegal acts.

It is important to note that due to increased vigilance in the wake of threats emanating from increasing terrorism, any failure on our part to discharge the duties cast on us under the applicable laws or we becoming an instrument or a part of the chain, even if unknowingly or ignorantly, may invite trouble.

In order to fight against the money laundering and terrorist financing the Prevention of Money Laundering Act (PMLA) was brought into force w.e.f. July 1, 2005 in India. Guidelines were also issued in the context of the recommendations made by the Financial Action Task Force on anti money laundering standards. Compliance with these standards has become imperative for international financial relations.

PMLA is applicable to every intermediary registered with Securities Exchange Board of India (SEBI), which includes a Member broker / Sub-Broker, Authorized Person and any other intermediary associated with securities market.

As per the provisions of PMLA, the intermediaries are required to comply with Know Your Client (KYC) norms, conduct ongoing client due diligence to ensure that the activity being conducted in any account is consistent with the intermediary's knowledge of the client, its business and risk profile.

In light of the above, you are requested to provide the information or documents evidencing source of funds, income tax returns, bank records, demat holding, etc. at the time of registration as a client with us or subsequently whenever asked for during the course of your dealings with us.

For further details, kindly visit our website: www.deltamarkllp.com

Signature & Stamp	✍	✍	✍
Name	1st Authorised Signature	2nd Authorised Signature	3rd Authorised Signature

Date____/____/______

From,	To,
Name:	DELTAMARK PARTNERS LLP
Client Code	
Email:	

Dear Sir,

Confirmation receipt of executed Mandatory and Voluntary Client registration documents.

With reference to my registration as client with you for BSE, NSE in Cash, F&O & CDS segment. I hereby confirm that I / We have received copies of following:-

1. KYC documents
2. Rights and Obligations
3. Risk Disclosure Document (RDD)
4. Guidance note on Do's and Don'ts
5. MITC
6. Policies and Procedures and Tariff Sheet and
7. All other executed Voluntary documents i.e., Running a/c authorization, ECN Consent, Authority letter etc.

Thanking You,

Signature & Stamp	✍	✍	✍
Name	1st Authorised Signature	2nd Authorised Signature	3rd Authorised Signature

VOLUNTARY CLIENT UNDERTAKING AND AUTHORISATION BY CLIENT AVAILING MARGIN THROUGH PLEDGE OF SECURITIES

To:
DELTAMARK PARTNERS LLP.
102 AND 103, RONIT ARCADE , S.V. ROAD,
JAVARI BAUG, KANDIVALI WEST, MUMBAI - 400067
Telephone. : +91-22-35752177
Email- Compliance@deltamarkllp.com

I/We hereby state, confirm and authorize as follows:

1. **Margin Requirement :** I/We understand that under the rules, regulations, circulars and guidelines issued by SEBI, the Stock Exchanges, Depositories and other regulatory authorities, I/We am/are required to maintain adequate margin for positions taken in the cash and/or derivatives segments.

2. **Maintenance of Margin :** I/We agree to maintain the required margin at all times. In case of any shortfall due to mark-to-market losses, market volatility, revision in margin requirements, or any other reason, I/We shall promptly replenish such shortfall within the time prescribed by the Broker or the relevant Exchange.

3. **Right to Invoke Pledge :** In the event of margin shortfall, default, delay in payment, or failure to fulfill any trading obligation, I/We hereby irrevocably authorize the Broker to invoke the pledged securities without providing any further notice or intimation and to transfer such securities in its name as beneficial owner and sell / dispose off and credit my account with sale proceeds / dispose off amount / redemption amount.

4. **Right to Liquidate / Square-Off :** I/We further authorize the Broker, at its discretion and without further reference to me/us, to:

- ❖ Sell or liquidate the pledged securities; and/or
- ❖ Square-off any open positions, wholly or partially, in any segment

For the purpose of recovering outstanding dues, margin shortfall, losses, charges, interest or any other amounts payable by me/us.

5. **Action in Urgent Market Conditions :** I/We understand that in volatile or exceptional market conditions requiring immediate action to limit exposure or regulatory risk, the Broker may square-off positions and sell pledged securities without prior notice . I/We shall not hold the Broker liable for any resultant loss, provided such action is taken in compliance with applicable regulations.

6. **Recovery and Continuing Liability :** I/We agree that the proceeds from invocation and/or square-off shall be appropriated towards my/our outstanding obligations. I/We shall remain liable for any shortfall remaining after such adjustment.

7. **Validity and Binding Effect:** This authorization shall be read together with the Rights and Obligations document, Risk Disclosure Document and other agreements executed by me/us. This authorization shall remain valid until revoked in writing, subject to clearance of all outstanding dues.

I/We confirm that I/we have read, understood and agreed to the contents of this undertaking and authorization.

Signature & Stamp	✍	✍	✍
Name	1st Authsed Signature	2nd Authorised Signature	3rd Authorised Signature

DELTAMARK PARTNERS LLP

Corporate & Registered Office:-
102 & 103, 1st Floor, Ronit Arcade,
S.V Road, Jhaveri Baugh, Poisar,
Opp. MTNL Exchange,
Kandivali (W), Mumbai 400067.

022-3575 2177

compliance@deltamarkllp.com
www.deltamarkllp.com

Member Of: NSE / BSE / MSE
NSE Membership No.: 90311/ BSE Membership No. : 6851
MSE Membership No.: 79550
SEBI Registration No.: INZ000310451
GSTIN No.: 27AASFD4651Q1ZL